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Worksheet 5 - $3n+1$ Conjecture

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The $3n+1$ Conjecture

The $3n + 1$ conjecture is an unsolved conjecture in mathematics. It is named after *Lothar Collatz*, who first proposed it in 1937. It is also known as the *Collatz conjecture*, as the *Ulam conjecture* (after Stanislaw Ulam), or as the *Syracuse problem*.

The $3n+1$ operation

Consider the following operation on positive integers n .

- If n is even, then divide it by 2.
- If n is odd, then multiply it by 3 and add 1.

For example, if we apply this transformation to 6, then we get 3 since 6 is even; and if we apply this operation to 11, then we get 34 since 11 is odd.

Exercise: Write a function that implements this operation, and compute the images of 1, 2, ..., 100.

Statement of the conjecture

If we start with $n=6$ and apply this operation, then we get 3. If we now apply this operation to 3, then we get 10. Applying the operation to 10 outputs 5. Continuing in this way, we get a sequence of integers. For example, starting with $n=6$, we get the sequence

6, 3, 10, 5, 16, 8, 4, 2, 1, 4, 2, 1, 4, 2, 1, 4, 2, 1,

Notice that this sequence has entered the loop $4 \mapsto 2 \mapsto 1 \mapsto 4$. The conjecture is

$3n+1$ conjecture: For every n , the resulting sequence will always reach the number 1.

Exercise: Write a function that takes a positive integer and returns the sequence until it reaches 1. For example, for 6, your function will return [6, 3, 10, 5, 16, 8, 4, 2, 1]. Find the largest values in the sequences for **1, 3, 6, 9, 16, 27**.

(Hint: You might find a *while loop* helpful here. Below is a very simple example that repeatedly adds 2 to the variable x until x is no longer less than 7.)

```
x = 0
while x < 7:
    x = x + 2
print x
```


Exercise: Use the **line** command to plot the sequence for 27.

Exercise: Write an **@interact** function that takes an integer n and plots the sequence for n .

Stopping Time

The number of steps it takes for a sequence to reach 1 is the *stopping time*. For example, the stopping time of 1 is 0 and the stopping time of 6 is 8.

Exercise: Write a function that returns the stopping time of a positive integer n . Plot the stopping times for 1, 2, ..., 100 in a **bar chart**.

Exercise: Find the number less than 1000 with the largest stopping time. What is its stopping time? Repeat this for 2000, 3000, ..., 10000.

Extension to Complex Numbers

Exercise: If n is odd, then $3n + 1$ is even. So we can instead consider the operation that maps n to $\frac{n}{2}$, if n is even; and to $\frac{3n+1}{2}$, if n is odd.

$$f(z) = \frac{z}{2} \cos^2\left(z \frac{\pi}{2}\right) + \frac{(3z+1)}{2} \sin^2\left(z \frac{\pi}{2}\right).$$

Construct f as a symbolic function and use Sage to show that $f(n) = T(n)$ for all $1 \leq n \leq 1000$, where T is the $\frac{3n+1}{2}$ -operator. Afterwards, argue that f is a smooth extension of T to the complex plane (you have to argue that applying f

to a positive integer has the same effect as applying T to that integer. You don't need Sage to do this, but it might offer you some insight!)

Exercise: Let $g(z)$ be the complex function:

$$g(z) = \frac{1}{4}(1 + 4z - (1 + 2z) \cos(\pi z))$$

Construct g as a symbolic function, and show that f and g are equal.

Note: You can do this using a combination of Sage and Maxima. Sage wraps some of Maxima's functions, but not all. For example, in Sage you can write **f.trig_expand()**. If you want to use some of Maxima's commands, then you can do the following:

```
maxima(f).trigexpand().sage()
```

This command converts **f** into a Maxima object (via the command **maxima(f)**), then applies the Maxima function **trigexpand**, and then converts the result into a Sage object (via the method **.sage()**). To see the available Maxima commands, you can type: **maxima.<tab>**.

Exercise: Use the **command_plot** command to plot **g** in the domain $x = -5, \dots, 5$ and $y = -5, \dots, 5$

Exercise: Consider the composition $h_n(z) = (g \circ g \circ \dots \circ g)$ (where there are n copies of g in this composition). Use **complex_plot** and **graphics_array** to plot $h_1, h_2, h_3, \dots, h_6$ on the domain $x = 1, \dots, 5$ and $y = -0.5, \dots, 0.5$

(*Hint:* To speed things up or control the precision of the computations, you may want to replace **pi** in your equation with **CDF.pi()**. Type **CDF?** and **CDF.pi?** for more information.)

Exercise: Generate some *really nice* images of h_n that illustrate the fractal-like behaviour of h_n . (*Hint:* You may want to explore the **plot_points** and **interpolation** options for the **complex_plot** command.)